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# AP20 Rec'd PCT/PTO 10 JUL 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

K. Ito et al.

Attorney Docket No.: NAII127770

Title:

CROSSLINKED POLYROTAXANE AND PROCESS FOR PRODUCING

THE SAME

### INFORMATION DISCLOSURE STATEMENT

Seattle, Washington 98101

July 10, 2006

#### TO THE COMMISSIONER FOR PATENTS:

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- Copies of the listed foreign patents and non-patent literature are enclosed for the Examiner's use.
- A concise explanation of the relevance of document Cite No. O1 (which is not in 2.  $\mathbf{X}_{-}$ the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided in the accompanying International Search Report for International Application No. PCT/JP2005/000172.
- This Information Disclosure Statement is being filed concurrently with the above-\_X\_ identified application.

Respectfully submitted,

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# INFORMATION CITED BY APPLICANTS THAT MAY BE MATERIAL TO THE PROSECUTION OF THE SUBJECT APPLICATION

Applicants:

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Attorney Docket No.: NAII127770

Title:

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### **U.S. PATENT DOCUMENTS**

*Examiner Initials			Kind Code	Date (mm/dd/yyyy)	Name	
-	U1	6,828,378	B2	12/07/2004	Okumura et al.	
-	U2	6,037,387	Α	03/14/2000	Yui et al.	
	U3	2003/0124168	A1	07/03/2003	Yui et al.	

### **FOREIGN PATENT DOCUMENTS**

*Examine	r Cite No.	Document No.	Kind Code	Publication Date (mm/dd/yyyy)	Country	English  Abstract Translation  Provided Provided
	F1	JP 9-301893	Α	11/25/1997	JP	X
	F2	JP 2004-339497	Α	12/02/2004	JР	$\mathbf{X}^{\cdot}$
	F3	WO 03/074099	A1	09/12/2003	WO	

## OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner	Cite				
Initial	No.		 	 	

O1 Ichi, T., et al., "Preparation and Characterization of Three-Dimensional Architecture Based on Polyrotaxane Structure," Proceedings of the 12th Bioengineering Conference 1999 Annual Meeting of BE D/JSME, Japan Society of Mechanical Engineers, January 5, 2000, pp. 217-218.

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O2 Okumura, Y., and K. Ito, "The Polyrotaxane Gel: A Topological Gel by Figure-of-Eight Cross-Links," *Advanced Materials* 13(7):485-487, April 4, 2001.

**Examiner** 

**Date Considered** 

/Sonya Wright/

08/14/2010

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JMS:dlw

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